





High resolution frequency counters

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Outline

- 1. Digital hardware
- 2. Basic counters
- 3. Microwave counters
- 4. Interpolation
 - time-interval amplifier
 - frequency vernier
 - time-to-voltage converter
 - multi-tap delay line
- 5. Basic statistics
- 6. Advanced statistics

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2 How to compare the input signal (f, T, TI) to the prequency standard

2 How to avoid depredation of the standard's metrolopical performances

1 – Digital hardware



TRIGGER



TRIVIAL FUNCTIONS . 50 SZ, ACIDC . level, slope









A counter and a divider are almost the same thing often a counter has a sufficiently large no. of bits, for it does not overflow.

2 – Basic counters

TIME INTERVAL COUNTER





INTERPOLATION PROBLEM



FREQUENCY COUNTER () Nx TRIG 9 Tm = Nc.Tc reference gate time (measurement time) NaTz = NoTe Estimate fx = 1/ix -> fx = Hx fc QUANTIZATION S SHx = 1 $\frac{\delta I_X}{f_X} = \frac{1}{N_X} \quad (\Rightarrow) \quad \frac{\delta I_X}{f_X} = \frac{1}{f_X I_M}$

· poor resolution at low fx don't even think to interpolate the period To (variable in a wide range) 11

5e



Practical measurement



measurement equation: $N_x T_x = N_c T_c$ or $N_x T_x = (N_c \pm 1) T_c$, including quantization uncertainty

3 – Microwave counters

Prescaler



- a prescaler is a n-bit binary divider ÷ 2ⁿ
- GaAs dividers work up to ≈ 20 GHz
- reciprocal counter => there is no resolution reduction
- Most microwave counters use the prescaler

Transfer-oscillator counter



- The transfer oscillator is a PLL
- Harmonics generation takes place inside the mixer
- Harmonics locking condition: N $f_{vco} = f_x$
- Frequency modulation Δf is used to identify N (a rather complex scheme, ×N => Δf -> NΔf)

Heterodyne counter



- Down-conversion: $f_b = |f_x N f_c|$
- f_b is in the range of a classical counter (100-200 MHz max)
- no resolution reduction in the case of a classical frequency counter (no need of reciprocal counter)
- Old scheme, nowadays used only in some special cases (frequency metrology)

4 – Interpolation





SOLUTION

MINOR TECHNICAL DETAIL



Ta (and To) may be a very short time

- ARBITRATION
- ERROR due to the reising edges

E The current pulse the current pulse the current pulse is independed over TatTc (>, Tc) Voe CHARCOC MISCHARGE ALSO TL

Measuring Ta-To, the added To rubs out.

EXAMPLE: NANOFAST 536B (Smithsonian) 76 Main clock fc= 10 MHz -> ST= Fc= 100 ms Time Interval amplifier IL = 4000 Ta E (200 µs, 400 µs) aux. clock 20 MHz for the measurement of T_a' $\delta T_a' = T'_c = 50 \text{ ms}$ (1/20 MHz) $\delta T_a = \frac{1}{2} T_c$ $\delta T_e = \frac{1}{4000} * 50 M_s = 12.5 ps$ The Manofost 536B counter is (was?) a partof the Mark IV system for Very long Baseline Interferometry (VEBI) Early ITL technology Hote: a pulse propagater in a cable at c'z = c The is equivalent to a length of 2.5 mm

2 – Frequency vernier 23 82 CALIPER VERHIER fc = 10 Main scale (10 ticks/cm) unally allaly 10 ticks 9 mm aux. scole fr = 10.10 25 main scale 10

aur scale

coincidence 6

read: 8.6 main 1 mar 2 – Frequency vernier 24 VERHIER FREQUENCY mote that for < fc here NoTo and fe Thrun No pulses The LITT for = min for Lignard. dock for coincidence Coincidence occurs ofter No pulses Ta+ Hu Te = NoTo -> To= Hu [To-Te] Tu = M+1 tc Ta = Hor - Tc RESOLUTION FHU= 1

2 – Frequency vernier

SYNCHROHIZED OSCILLATOR



COLNCIDENCE DETECTOR



2 – Frequency vernier

26 HP - 5370A 16 EXAMPLE. fe = 200 MH12 -> STz = 5 MS (ECL Technology) $M = 256 \rightarrow 8T_a = 8T_b = \frac{1}{256} \times 5Ms = 19.5ps$ (1 v = 199.22MHz) It takes a mor. of 257 cych of fc for the two clocks to comide conversion time: 257 × 5 ms = 1.285 grs Light speed in each 2 0.67C Ste and 8l 2 4 mm (length.)

3 – Time-to-voltage converter

TIME-TO-VOLTAGE CONVERTER



. The method is equivalent to the TI amplifier . Successive approx. conversion is faster then dud. slope

3 – Time-to-voltage converter

EXAMPLE STANFORD SR. 620

- $f_c = 90 \text{ MH}_{+}$ $T_c = 11 \text{ Lm}_{s}$
- phase-locked to the 20 MHz Reference. ECL Technology

12 bit converta, 1 bit lost because of the extra to

 $\frac{11 \text{ bits}}{5T_e} = \frac{11 \text{ Lms}}{2^{41}} = 5.4 \text{ ps}$

4 – Multi-tap delay line Interpolation by sampling delayed copies of the clock or of the stop signal



The resolution is determined by the delay τ, instead of by the toggling speed of the flip-flops

4 – Multi-tap delay line

Sampling circuits



J. Kalisz, Metrologia 41 (2004) 17–32

4 – Multi-tap delay line

Ring Oscillator used in PLL circuits for clock-frequency multiplication



J. Kalisz, Metrologia 41 (2004) 17–32

5 – Basic statistics

PRECISION ACCURACY AND 110 quantisation zandom fluctuations ereors moise (resolution) short-Ice in clability ERROR BUDGET channel asymmetry (TI) (11) triffer level bias calibration referma freg-fc systematic errors 7

Old Hewlett Packard application notes



Quantization uncertainty



 $1/\sqrt{12} = 0.29$

Example: 100 MHz clock Tx = 10 ns σ = 2.9 ns



classical reciprocal counter (1)



period measurement (count the clock pulses) is preferred to frequency measurement (count the input pulses) because:

- it provides higher resolution in a given measurement time tau (the clock frequency can be close to the maximum switching speed)
- interpolation (M is rational instead of integer) can be used to reduce the quantization (interpolators only work at a fixed frequency, thus at the clock freq.)

classical reciprocal counter (2)



variance

$$\sigma_y^2 = \frac{2\sigma_x^2}{\tau^2}$$

classical variance

enhanced-resolution counter



limit $\tau_0 \rightarrow 0$ of the weight function



$$\mathbb{E}\{\nu\} = \frac{1}{n} \sum_{i=0}^{n-1} \overline{\nu}_i \qquad \overline{\nu}_i = N/\tau_i$$

$$\Lambda \text{ estimator} \\ \mathbb{E}\{\nu\} = \int_{-\infty}^{+\infty} \nu(t) w_{\Lambda}(t) dt$$

weight $w_{\Lambda}(t) = \begin{cases} t/\tau & 0 < t < \tau \\ 2 - t/\tau & \tau < t < 2\tau \\ 0 & \text{elsewhere} \end{cases}$

normalization
$$\int_{-\infty}^{+\infty} w_{\Lambda}(t) \, dt = 1$$

white noise: the autocorrelation function is a narrow pulse, about the inverse of the bandwidth

the variance is divided by n

$$\sigma_y^2 = \frac{1}{n} \; \frac{2\sigma_x^2}{\tau^2}$$

classical variance

actual formulae look like this

(II)
$$\sigma_y = \frac{1}{\tau} \sqrt{2(\delta t)^2_{\text{trigger}} + 2(\delta t)^2_{\text{interpolator}}}$$

$$(\Lambda) \quad \sigma_y = \frac{1}{\tau \sqrt{n}} \sqrt{2(\delta t)_{\text{trigger}}^2 + 2(\delta t)_{\text{interpolator}}^2}$$
$$n = \begin{cases} \nu_0 \tau & \nu_{00} \le \nu_I \\ \nu_I \tau & \nu_{00} > \nu_I \end{cases}$$

understanding technical information

classical reciprocal counter	$\sigma_y^2 = \frac{2\sigma_x^2}{\tau^2}$ classical variance
enhanced-resolution counter	$\sigma_y^2 = \frac{1}{n} \frac{2\sigma_x^2}{\tau^2}$ classical variance
low frequency: full speed	$\tau_0 = T \implies n = \nu_{00}\tau$ $\sigma_y^2 = \frac{1}{\nu_{00}} \frac{2\sigma_x^2}{\tau^3} \qquad \begin{array}{c} \text{classical} \\ \text{variance} \end{array}$
high frequency: housekeeping takes time	$\tau_0 = DT \text{with } D > 1 \implies n = \nu_{00}\tau$ $\sigma_y^2 = \frac{1}{\nu_I} \frac{2\sigma_x^2}{\tau^3} \text{classical variance}$

the slope of the classical variance tells the whole story $1/\tau^2 \implies \Pi$ estimator (classical reciprocal) $1/\tau^3 \implies \Lambda$ estimator (enhanced-resolution) look for formulae and plots in the instruction manual

Stanford SRS-620

examples



RMS resolution $\sigma_{\nu} = \nu_{00}\sigma_y$ or $\sigma_T = T_{00}\sigma_y$ frequency ν_{00} period T_{00} gate time τ no. of samples $n = \begin{cases} \nu_{00}\tau & \nu_{00} < 200 \text{ kHz} \\ \tau \times 2 \times 10^5 & \nu_{00} \ge 200 \text{ kHz} \end{cases}$

5 – Advanced statistics

Allan variance

definition

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\frac{1}{\tau} \int_{(k+1)\tau}^{(k+2)\tau} y(t) \, dt - \frac{1}{\tau} \int_{k\tau}^{(k+1)\tau} y(t) \, dt\right]^2\right\}$$

wavelet-like variance

energy

$$\sigma_y^2(\tau) = \mathbb{E}\left\{ \left[\int_{-\infty}^{+\infty} y(t) \, w_A(t) \, dt \right]^2 \right\}$$

$$w_A = \begin{cases} -\frac{1}{\sqrt{2}\tau} & 0 < t < \tau\\ \frac{1}{\sqrt{2}\tau} & \tau < t < 2\tau\\ 0 & \text{elsewhere} \end{cases}$$



$$E\{w_A\} = \int_{-\infty}^{+\infty} w_A^2(t) \, dt = \frac{1}{\tau}$$

the Allan variance differs from a wavelet variance in the normalization on power, instead of on energy

modified Allan variance

definition

$$\mod \sigma_y^2(\tau) = \mathbb{E} \left\{ \frac{1}{2} \left[\frac{1}{n} \sum_{i=0}^{n-1} \left(\frac{1}{\tau} \int_{(i+n)\tau_0}^{(i+2n)\tau_0} y(t) \, dt - \frac{1}{\tau} \int_{i\tau_0}^{(i+n)\tau_0} y(t) \, dt \right) \right]^2 \right\}$$
with $\tau = n\tau_0$.

$$\operatorname{mod} \sigma_y^2(\tau) = \mathbb{E}\left\{ \left[\int_{-\infty}^{+\infty} y(t) \, w_M(t) \, dt \right]^2 \right\}$$

wavelet-like variance

$$w_{M} = \begin{cases} -\frac{1}{\sqrt{2}\tau^{2}}t & 0 < t < \tau \\ \frac{1}{\sqrt{2}\tau^{2}}(2t-3) & \tau < t < 2\tau & w_{M} \\ -\frac{1}{\sqrt{2}\tau^{2}}(t-3) & 2\tau < t < 3\tau & 0 \\ 0 & \text{elsewhere} & 0 \\ \end{cases} \xrightarrow{\tau} \underbrace{\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau}_{\sqrt{2}\tau} \underbrace{\tau}_{\sqrt{2}\tau} \underbrace{\tau}$$

energy

$$E\{w_M\} = \int_{-\infty}^{+\infty} w_M^2(t) \, dt = \frac{1}{2\tau}$$

compare the energy

$$E\{w_M\} = \frac{1}{2} E\{w_A\}$$

this explains why the mod Allan variance is always lower than the Allan variance

spectra and variances

Noise Type	$S_y(f)$	Allan ($\sigma_{\rm A}^2$)	Modified Allan	Triangle
White PM	$h_2 f^2$	$\frac{\frac{3f_H}{4\pi^2}h_2\tau^{-2}}{=\sigma_{\Lambda}^2(\tau)}$	$\frac{\frac{3}{8\pi^2}h_2\tau^{-3}}{\frac{1}{2f_{\pi}\tau}\sigma_{\Lambda}^2(\tau)}$	$=\frac{\frac{2}{\pi^2}h_2\tau^{-3}}{\frac{8}{2f}\sigma_A^2(\tau)}$
Flicker PM	$h_1 f$	$\frac{\frac{1.038+3\ln(2\pi f_H\tau)}{4\pi^2}h_1\tau^{-2}}{4\pi^2}$	$\frac{\frac{2 \int H}{2 \int H} h_1 \tau}{\frac{3 \ln(\frac{256}{27})}{8 \pi^2} h_1 \tau^{-2}}$	$\frac{\frac{6 \ln(\frac{27}{16})}{\pi^2} h_1 \tau^{-2}}{\pi^2}$
		$=\sigma_{ m A}^2(au)$	$= \frac{3.37}{3.12 + 3 \ln \pi f_H \tau} \sigma_{\rm A}^2(\tau)$	$= \frac{12.56}{3.12 + 3 \ln \pi f_H \tau} \sigma_{\rm A}^2(\tau)$
White FM	h_0	$rac{1}{2}h_0 au^{-1}$	$\frac{1}{4}h_0 au^{-1}$	$\frac{2}{3}h_0 au^{-1}$
		$=\sigma_{ m A}^2(au)$	$= 0.50 \sigma_{\rm A}^2(\tau)$	$= 1.33 \sigma_{\rm A}^2(\tau)$
Flicker FM	$h_{-1}f^{-1}$	$2 \ln(2) h_{ extsf{-1}}$	$2 ln(rac{3 3^{11/16}}{4}) h_{ ext{-}1}$	$(24\ln(2) - \frac{27}{2}\ln(3))h_{-1}$
		$=\sigma_{ m A}^2(au)$	$= 0.67 \sigma_{\rm A}^2(\tau)$	$= 1.30 \sigma_{\rm A}^2(\tau)$
Random Walk FM	$h_{-2}f^{-2}$	${2\over 3}\pi^2h_{\text{-}2} au$	${11\over 20}\pi^2h_{\text{-}2} au$	${23\over 30}\pi^2h_{-2} au$
		$=\sigma_{\rm A}^2(au)$	$= 0.82 \sigma_{\rm A}^2(\tau)$	$= 1.15 \sigma_{\rm A}^2(\tau)$
Frequency Drift $(\dot{y} = D_y)$	-	$\frac{1}{2}D_y^2 au^2$	$rac{1}{2}D_y^2 au^2$	$\frac{1}{2}D_y^2 au^2$

 ν_{00} is replaced with ν_0 for consistency with the general literature

 f_H is the high cutoff frequency, needed for the noise power to be finite

S.T. Dawkins, J.J. McFerran, A.N. Luiten, IEEE Trans. UFFC 54(5) p.918–925, May 2007

Π estimator —> Allan variance

given a series of contiguous non-overlapped measures



the Allan variance is easily evaluated

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

overlapped Λ estimator —> MVAR

by feeding a series of Λ -estimates of frequency in the formula of the Allan variance

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

as they were $\Pi\text{-}estimates$



one gets exactly the modified Allan variance! $\operatorname{mod} \sigma_y^2(\tau) = \mathbb{E} \left\{ \frac{1}{2} \left[\frac{1}{n} \sum_{i=0}^{n-1} \left(\frac{1}{\tau} \int_{(i+n)\tau_0}^{(i+2n)\tau_0} y(t) \, dt - \frac{1}{\tau} \int_{i\tau_0}^{(i+n)\tau_0} y(t) \, dt \right) \right]^2 \right\}$

with $\tau = n\tau_0$.

joining contiguous values to increase τ graphical proof



There is a mistake in one of my articles: I believed that in the case of the Agilent counters the contiguous measures were overlapped. They are not.

non-overlapped Λ estimator —> TrVAR

50

by feeding a series of Λ -estimates of frequency in the formula of the Allan variance

$$\sigma_y^2(\tau) = \mathbb{E}\left\{\frac{1}{2} \left[\overline{y}_{k+1} - \overline{y}_k\right]^2\right\}$$

as they were $\Pi\text{-}estimates$



S.T. Dawkins, J.J. McFerran, A.N. Luiten, IEEE Trans. UFFC 54(5) p.918–925, May 2007

Conclusions

- The multi-tap delay-line interpolator is simple with modern FPGAs
- In frequency measurements, the Λ (triangular) estimator provides higher resolution
- The Λ estimator can not be used in single-event timeinterval measurements
- Mistakes are around the corner if the counter inside is not well understood
- Some of the reported ideas are suitable to education laboratories and classroom works (I used a bicycle and milestones to demonstrate the Λ estimator)

Thanks to J. Dick (JPL), C. Greenhall (JPL), D. Howe (NIST) and M. Oxborrow (NPL) for discussions

To know more:

- 1 rubiola.org, slides and articles
- 2 www.arxiv.org, document arXiv:physics/0503022v1
- 3 Rev. of Sci. Instrum. vol. 76 no. 5, art.no. 054703, May 2005.

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- Hewlett fachard Fundamentals of dictanic overders, HP AH. 200, 1997
 - · Eldozado counter, I could not frach the reference

TIME-TO-VOLTAGE CONVERTER

e Stamperd SR620 operation manual. Mote: The full share included, is difficult &s No "theory of quation" die pter voter pret.